

February 9, 2016

TO: Jeff Seemann Vice President for Research

Scott A. Jordan, Executive Vice President for Administration and CFO

FR: Susan Herbst, President



CC: Richard F. Orr, Vice President and General Counsel

RE: **Delegation of President's Authority to Exercise University's Ownership Rights in Corporations Related to the Commercialization of University or employee Intellectual Property and Technology**

On January 27, 2016, the University of Connecticut Board of Trustees approved a resolution authorizing the President to exercise the University's rights of ownership in any corporation or other business entity in which the University has an ownership interest and to re-delegate such authority to one or more of the President's direct reports ("Board Resolution"). A copy is attached.

In accordance with the Board Resolution, I hereby issue the following delegation of authority.

Scope of Delegation

1. Vice President for Research, Jeff Seemann

As Vice President for Research, you are responsible for programs and activities related to University research and the commercialization of University and employee Intellectual Property and technology.

Therefore, you shall have all authority necessary to exercise, on behalf of the University, the University's rights of ownership in any corporation or other business entity in which the University has an ownership interest related to University research or the commercialization of University or employee Intellectual Property and technology.

You are further authorized to execute, deliver and perform, in the name of and on behalf of the University, such certificates, instruments, documents and agreements as you may deem necessary, useful or required in furtherance of this delegation.

2. *Executive Vice President for Administration and Chief Financial Officer, Scott A. Jordan*

As Executive Vice President for Administration and CFO, you are responsible for all monies and funds of the University as well as for overseeing long-range financial planning and management of the operating and capital budgets for all units of the University.

Therefore, you shall have all authority necessary to exercise, on behalf of the University, the University's rights of ownership in any corporation or other business entity other than those as to which such authority has been given to the Vice President of Research.

You are further authorized to execute, deliver and perform, in the name of and on behalf of the University, such certificates, instruments, documents and agreements as you may deem necessary, useful or required in furtherance of this delegation.

Limitations

This delegation is conditioned on fulfillment of the following requirements:

1. The authority delegated in this document shall not be sub-delegated.
2. Any authority not conferred in the above scope of delegation remains with the President.
3. To the extent that the scope of any subsequent delegation conflicts with this delegation, the subsequent delegation shall control.

Term of Delegations

1. The authority provided by this delegation is effective immediately.
2. The authority provided by this delegation shall automatically be rescinded upon your separation from the University or on the date you cease to serve in the position described in this delegation.
3. The authority provided by this delegation is made to the positions with the titles of "Vice President for Research" and "Executive Vice President for Administration and Chief Financial Officer." From time to time the titles for such positions may change but their functions remain the same. A change in title does not change the delegation. The authority delegated to a position named in this delegation shall remain in effect for the position's successors in function.

Attachment