

May 18, 2022

TO: All Staff

CC: Office of the General Counsel

FR: Pamir Alpay

**RE:** Sub-Delegation of Contract Approval and Signing Authority

The University of Connecticut Board of Trustees resolution dated February 18, 2010 ("Board Resolution") grants the President signing authority for all University contracts and allows the President to delegate such authority as deemed appropriate. Pursuant to the Resolution, the President issued the University Contract Signing Authority Delegation on May 2, 2022 ("President's Delegation"). The President's Delegation authorizes me to sub-delegate my authority to certain employees under the President's and my supervision.

In accordance with President's Contract Approval and Signature Authority Procedures and to the extent permitted by the President's Delegation, I hereby issue, effective immediately, the following subdelegations of authority.

TITLE CONTRACT VALUE

Associate or Assistant Vice President

Expenditure: Less than \$750,000

Receipt of Funds: Less than \$5,000,000

Proposals: No limit

Sponsored Projects Sub-Awards: Less than \$5,000,000

Associate Vice President of Innovation & Entrepreneurship

Expenditure (TCS contracts): Less than \$1,000,000

Directors, Associate Directors, Sponsored Program Services

Expenditure: Less than \$25,000

Receipt of Funds: Less than \$5,000,000

Proposals: Less than \$10,000,000

Sponsored Projects Sub-Awards: Less than \$3,000,000

Office of the Vice President for Research 438 WHITNEY ROAD EXTENSION, UNIT 1006

Senior Licensing Director, Technology Commercialization Services

Expenditure: Less than \$250,000

Receipt of Funds (Licenses only): Less than \$400,000

Licensing Directors, Technology Commercialization Services

Expenditure: Less than \$250,000

Receipt of Funds (Licenses only): Less than \$250,000

Executive Director and Directors, Technology Commercialization Services, Venture Development

Expenditure: less than \$25,000

Assistant Directors, Sponsored Program Services

Receipt of Funds: Less than \$5,000,000

Proposals: Less than \$10,000,000

Managers, Grants, and Contracts, Sponsored Program Services

Receipt of Funds: Less than \$5,000,000

Proposals: Less than \$7,500,000

Specialists, Grants and Contracts, Sponsored Program Services

Receipt of Funds: Less than \$5,000,000

Proposals: Less than \$5,000,000

Senior IP Managers, Technology Commercialization Services

Expenditure: Less than \$250,000

Receipt of Funds (Licenses only): Less than \$250,000

Each sub-delegation is limited to approving or signing contracts necessary to support or further the research mission of the University. This authority may not be further sub-delegated

## University of Connecticut OVPRIE <u>Expenditure Signing</u> Delegation Authority

Authorized Contract	Signatories	Type of
Value		Contract/Agreement*
Less than \$750,000**	Associate or Assistant Vice President	Any contract for expenditure of funds necessary to support or further the research mission of the University.
Less than \$1,000,000**	Associate Vice President of Innovation & Entrepreneurship	In addition to above, any TCS contract for expenditure of funds necessary to support or further the research mission of the University.
Less than \$25,000 ***	Directors, Associate Directors, Sponsored Program Services	Any contract for expenditure of funds necessary to support or further the research mission of the University.
Less than \$250,000	Sr. Licensing Directors, Licensing Directors and Senior IP Mangers	Any contract for expenditure of funds necessary to support or further the research mission of the University.
Less than \$25,000	Executive Director, Director Technology Commercialization Services, Venture Development	Any contract for expenditure of funds necessary to support or further the research mission of the University.
*Contracts with a value of \$1 million or greater may require prior approval from the Board of Trustees		
**Sponsored Projects Sub- Awards are limited to Less than \$5,000,000.		
***Sponsored Projects Sub- Awards are limited to Less than \$3,000,000.		

## University of Connecticut OVPRIE <a href="Receipt of Funds">Receipt of Funds</a> Signing Delegation Authority

Authorized Contract	Signatories	Type of
Value		Contract/Agreement*
Less than \$5,000,000	Associate or Assistant Vice President	Any contract/ grant for receipt
		of funds necessary to support
		or further the research mission
		of the University.
Less than \$5,000,000	Directors Associate Directors,	Any contract/grant for receipt
	Assistant Directors, Grant and	of funds necessary to support
	Contract Managers, Grant and	or further the research mission
	Contract Specialists Sponsored	of the University.
	Program Services	
Less than \$400,000	Senior Licensing Director,	Licensing and related
	Technology Commercialization	agreements necessary to
	Services	support the mission of the
		University.
Less than \$250,000	Licensing Directors, Technology	Licensing and related
	Commercialization Services	agreements necessary to
		support the mission of the
		University.
Less than \$250,000	Senior IP Managers	Licensing and related
		agreements necessary to
		support the mission of the
		University.

## University of Connecticut OVPRIE <a href="Proposal Signing">Proposal Signing</a> Authority Delegation

Authorized Contract Value	Signatories	Type of Contract/Agreement*
Any amount	Associate or Assistant Vice President	Any proposal contract necessary to support or further the research mission of the University.
Less than \$10,000,000	Directors, Associate Directors, Assistant Directors, Sponsored Program Services	Any proposal contract necessary to support or further the research mission of the University.
Less than \$7,500,000	Managers, Grants and Contracts, Sponsored Program Services	Any proposal contract necessary to support or further the research mission of the University.
Less than \$5,000,000	Specialists, Grants and Contracts, Sponsored Program Services	Any proposal contract necessary to support or further the research mission of the University.